



Design of safety guards Under observation of ISO 14119

Introduction

With the Machinery Directive (MD) 2006/42/EC and its associated standards, the European Union has created a set of rules that need to be considered when designing machinery and plants. This set of rules is also considered and adapted in markets outside the EU as a basis for machine safety. It contains amongst others, statements concerning the design of the moveable safety guards.

Position monitoring of moveable guards is described in detail in ISO 14119 "Safety of machinery – Interlocking devices associated with guards - Principles for design and selection" (German version: DIN EN ISO 14119:2013). This standard replaces the currently valid EN 1088 and was published on April 11, 2014 in the Official Journal of the European Commission as a European standard harmonised under the MD. As an ISO standard, it is also valid beyond the European Union.

This brochure's objective is to aid designers of machinery and plants with standard-compliant design of moveable guards taking into consideration the ISO 14119 and other relevant regulations.

In the centre of the brochure there is an accompanying poster, that gives a quick overview of the technically correct design of moveable safety guards and represents the whole process of their standard-compliant selection and design in the form of a flowchart.

This brochure outlines the enclosed poster and gives detailed information on the individual process steps of the flowchart. The page numbers noted on the poster refer to the relevant page in this brochure, where the process step is described.

The contents of this brochure reflect the interpretation of the Schmersal Group and is also based on the experience gained as a member of the Deutschen Institut für Normung e.V. (German institute for standardisation), Standards Committee NA 095 Safety principles and "Protective devices, safety measures and interlocks". Reading the brochure does not exempt you from your own study and interpretation of the standard.

An initial note on terminology: The term "interlocking device" used in the standard is synonymous for safety switchgear and often leads to confusion, because the term "interlocking device" is generally associated with a component which actually does keep the safety guard locked. From the standard's point of view this component is referred to as interlocking device with guard locking. The interlocking devices themselves, by definition of the standard, only monitor the position (open / closed) of the safety guard. This task can be fulfilled by electromechanical safety switches or non-contact safety sensors.

Content

Introduction	Page 2				
1. Risk assessment	Page 4				
2. Inherent safety	Page 5				
3. Technical protective measures	Page 5				
4. Determination of the safety function	Page 6				
5. Design of the safety circuits					
6. Safety guards	Page 9				
7. Choosing the locking principle	Page 9				
8. Rate defeat incentives	Page 10				
9. Interlocking devices with and without guard locking	Page 15				
10. Product selection					
11. Interlocking devices with guard locking with					
"Power to lock" or "Power to unlock" working principle	Page 18				
12. Fault exclusions to ISO 13849-2	Page 19				
13. Verification	Page 20				
14. Validation	Page 21				
15. User information					
16. List of Standards	Page 23				





1. Risk assessment





- The MD and therefore the law requires each machine manufacturer to carry out a risk assessment.
- The risk assessment consists of hazard identification, risk estimation and evaluation.
- The risk assessment takes into account the entire life cycle and all operating modes of the machine.
- Instructions for conducting a risk assessment can be found in the ISO 12100.
- Only after completing the risk assessment the manufacturer knows where there are possible risks of injury on the machine and whether anything needs to be done about them.



2. Inherent safety



Minimum distances



Dangerous movements stop at a distance that cannot deform limbs



Limiting the effective

energy

Forces that occur at the hazard spot are to be deliberately limited so as not to have any bodily damaging effect



Interrupting the flow of power



The buildup of forces that would lead to injuries is reliably interrupted before reaching the limits



Elastic deformation



Deliberately resilient machine parts which deform and absorb most of the deforming energy

- As per the figure on the left from ISO 12100, the risks are to be eliminated initially by design (= inherent safe design; see ISO 12100, chapter 3.20).
- Inherent safety is understood to mean the elimination of risks by constructive measures.

3. Technical protective measures



- If the identified risks cannot be eliminated by design measures, or at least minimised to an acceptable level, technical protective measures must be taken such as optoelectronic protective equipment, tactile protective devices, two-hand controls etc., refer to ISO 12100, section 3.21.
- Such a technical protective measure could for example be a movable safety guard. This brochure concentrates on such measures.



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ISO 13849-1:

Safety function

4. Determination of the safety function

- Table 8 of ISO 13849-1 defines safety functions that ensure that the identified risk is minimised, also see ISO 12100, section 30.3.
- When implementing safety functions, the entire safety circuit must be taken into consideration - starting with the sensors (input, in our case the interlocking device), the monitoring device (logic) and the actuator (output).









By using the risk graph of ISO 13849-1, Annex A, the required performance level (= PL_r) can be determined for this safety function.



If there is a low probability of the occurrence of the hazardous event, the necessary PL_{r} can be reduced.

The probability of occurrence must be justified:

- Reliability data
- Accident history of comparable machinery

The relevant safety functions of movable safety guards are (see ISO 14119, section 3.2):

- Switching off the dangerous machine function when opening the safety guard
- Protection against unexpected start-up
- If necessary, locking the safety door until the dangerous machine function is completed
- If necessary unlocking the guard locking device

The safety function "unlocking the guard locking device" is new. However, the standard assumes (see remarks 1 and 2 of section 8.4.), that the PL of the locking device is less than the PL of the interlocking device. Reason: "The probability of the failure of the interlocking and simultaneous access of a person is very low." (ISO 14119, section 8.4, Note 2.). Nevertheless, inadvertent unlocking of the locking device must be included in the risk assessment.

ISO 14119 takes into account the characteristics and requirements on the sensor system (input) of the safety chain. Their sensor is part of the interlocking device of a safety guard described in the standard.





ISO 13849-1:

architecture

Safety

5. Design of the safety circuit

After the safety function has been determined, it is now necessary to design the appropriate safety circuit.

The design is to be in accordance with the requirements of the PLr (see ISO 13849-1, section 6). This means it must meet the requirements of the:

Structure of safety circuit



- expected service life of the components used until the first occurrence of a dangerous fault: MTTF_D (or B10_D)
- test quality, which means the quality of the dangerous fault detection: DC_{avg}
- measures against common cause failures: CCF must be considered.



Note to DC_{avg}:

- In many applications the electromechanical interlocking devices are connected in series electrically. Because of the possibility that dangerous faults that occur may not be detected the DC_{avg} must be correspondingly reduced.
- The technical report ISO/TR 24119 gives relevant information on the series connection of interlocking devices and their effect on the DC_{avg}.

We recommend you use the following DC_{avg}:

- Series connection of interlocking devices with positive break contacts: DC_{avg} = 60 % (which still allows a max. performance level of PL d)
- Series connection of magnetic interlocking devices: DC_{avg} dependent on distance of the safety guards and their frequency of operation
- Series connection of self-monitoring electronic interlocking devices: DC_{avg} = 99 % (which allows a max. performance level of PL e)

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6. Safety guards

The mechanical design of the safety guard is also described by requirements in the following standards:

ISO 14120: Safety guards

- There is a reference in section 6.4.4.1 on the access or frequency of access with a movable safety guard indicating when they are to be used. At a frequency of more than once a week a movable guard should be used with an interlocking device to ISO 14119.
- ISO 13857: Safety distances to prevent hazard areas being reached by the upper and lower limbs. This standard describes the sizes of limbs and consequently the necessary safety distances to hazardous areas. It states amongst others in section 4.3, that guards should not exceed a ground clearance of 180 mm, because this would allow the whole body to access the hazardous area.

7. Choosing the locking principle

The type of locking device that should be used, i.e. with or without guard locking can be determined by using the flow chart in ISO 14119.



Information to answer the question whether the stop time of the whole system is \geq entry / access time is given in the standard ISO 13855 section 9.

- This given standard calculates the safety distance from behind the safety guard to the danger zone with an entry speed of 1600 mm/s or an access speed of 2000 mm/s.
- The safety distance is also dependent on the size of the body parts that obtain access to the danger zone when the safety guard is opened. Therefore, the standard ISO 13857 is also to be considered when calculating the stopping time.



d when r closed.

Saled Bran

Selection of the interlocking principle depending upon the stop time of the entire system

8. Rate defeat incentives





An investigation* has shown that accidents are often the result of protective equipment being defeated. Therefore an essential focus of ISO 14119 is the prevention of the interlocking devices from being tampered with.

To prevent this, the standard suggests a certain method in the form of a flowchart.



The aim of this approach is to recognise the defeat incentive and to reduce or eliminate it. If there are no defeat incentives, it is not necessary to take any further measures.

The ISO 14119 also supports the designer with determining the defeat incentive. It suggests a matrix that shows the task to be carried out on the machine and the consideration of easing the task through corresponding defeat.

* Source: http://www.dguv.de/ifa/Publikationen/Reports-Download/BGIA-Reports-2005-bis-2006/Report-Manipulationvon-Schutzeinrichtungen

oach speeds 7994 150 13855 Interlocking dev 180 14119

Thus, it is readily apparent, at what point and in which task or operating mode of the machine there is a risk of defeat:

Task	Operating mode 1ª	Operating mode 2ª	Operating mode 3ª	Operating mode 4ª	Operating mode 5ª	Allowable tasks for this operation mode?	Tasks possible without bypassing?	Easier / more convenient ^b	Quicker / high productivity ^b	Flexibility, such as with larger work pieces	Higher level of precision ^b	Improved visibility ^b	Improved audibility ^b	Less physical effort ^b	Less travel ^b	Larger freedom of movement $^{\mathtt{b}}$	Better flow of movement ^b	Prevention of interruptions ^b	:
Commissioning																			
Program test / Test run																			
Installation / adjustment /																			
modifcation / equipping																			
Proccessing																			
Manual intervention for																			
removal of debris																			
Manual changing of work-pieces																			
Manual intervention with																			
troubleshooting																			
Check / random sampling																			
Manual intervention with																			
measurement / fine adjustment																			
Manual tool change																			
Maintenance / Repair																			
Fault rectification on machine																			
Cleaning, e.g. removing debris																			

Tab. 2 Example of an assessment of incentives to bypass interlocking devices (Source: ISO/DIS 14 119, Table H.1).

Legend:

a Operating modes

b Advantages without guard system: 0 = none; + = few; ++ = many

Of course, this table is to be adapted to the respective application or machine. A comparable Excel template is available for download at: http://www.dguv.de/ifa/praxishilfen/praxishilfen-maschinenschutz/ software-manipulationsanreize-bewerten/index.jsp



d when r closed.

Safety guar

Selection of the interlocking principle depending upon the stop time of the entire system

A Primary hazardous area

If it is determined that defeat incentives exist, then these must first be eliminated by design, see ISO 14119, section 7.1 c. Examples of purely constructive measures are:

A) Ergonomics:

- Height adjustment of the control panel
- Arrangement and design of the display and operating elements
- Position of the emergency stop switch
- Observability of the working zone
- Dimensions and location of the handles
- Manual forces for displacing

B) Viewing window:

Window construction: Polycarbonate - window must be protected against chemical and abrasive influences from inside with a safety glass pane and from the outside should be protected with a non-splintering plastic pane or splintering prevention foil.

Window mounting: The mounting should be able to withstand high impact reaction forces, allow considerable deforming and at the same time the ends of the polycarbonate window should be hermitically sealed against chemical reaction.

C) Protective cover:

Cover structure: With sandwich construction, the inner skin must be extremely deformable, and the outer skin designed to be extremely resistant and stiff.

Main closing edge: With power-operated safety doors the kinetic energy and speed when closing must be limited so that no dangerous pinching point is created at the main closing edge. The effective closing force must not exceed 150 N.

Cover mounting: Guidance on rollers in form-fitting custom runners. Clamps prevent ejection of the cover if damaged. The lower area of the cover should be designed that neither debris nor cooling lubricant can escape outwards.

D) Controllers:

Functional safety: Reliable fulfilling of safety functions within a defined period of time with the safety relevant part of the controller.

Manipulation safety: Interlocking elements non-accessibly mounted with tamperproof screws. Safety concept harmonised with activities in all service life stages of the machine.

Approach speeds ISO 13855 Interlocking devices ISO 14119 10 10 Pag 010) 25

Principles and measures	Type 1-Interlocking device (except when hinge-operated) and Type 3-Interlocking devices	Type 1-Interlocking device (operated only with hinge)	Type 2- and Type 4-interlocking device with low or middle coding level according to 7.2 b) 1) or 7.2 b) 2) with or without solenoid latching	Type 2- and Type 4-Interlocking devices with high coding level according to 7.2 b) 3) with or without solenoid latching!	Key transfer systems (with middle and high coding level, see note 2)
Mounting out the reach, see 7.2 a) 1)					
Barrier / shielding, see 7.2 a) 2)					
Mounting in hidden location, see 7.2 a) 3)			X		
Condition monitoring or periodic examination, see 7.2 d) 1) i) and ii)	Х				
Non-releasable attachment of position switches and actuators, see 7.2 c)					
Non-releasable attachment of the position switch, see 7.2 c)		М			М
Non-releasable attachment of the actuating element, see 7.2 c)		М	м	М	М
Additional interlocking device and plausibility checks, see 7.2 d) 2)	R		R		



 X
 The application of at least one of these measures is mandatory

 M
 Mandatory measure

 R
 Recommended measures (additional)





In ISO 14119 section 5 very general requirements for the installation and mounting of interlocking devices are described which must be observed regardless of the measures described in Table 3 above:

Section 5.2: Arrangement and mounting of position switches

Position switches must be arranged so that they are adequately secured ensuring their mounting position cannot be changed. To achieve this, the following requirements must be met:

- The fasteners of the position switches must be reliable and to remove them, a tool is required.
- Type-1-position switches must have a method to permanently secure the position after adjustment (such as bolts or dowel pins).
- The facilities required for access to the position switches for maintenance and verification of the correct operation must be ensured. Avoidance of dealing in a reasonably foreseeable way is also to be considered when designing the access.
- Gradual loosening must be prevented.
- Bypassing the position switch in a reasonably foreseeable way must be prevented (see section 7).
- The position switches must be arranged and, if necessary, be protected in such a way to prevent damage by unforeseen external causes.
- The movement caused by the mechanical operation or the distance to the actuating system of a non-contact position switch must remain within the actuating area of the position switch specified by the switch manufacturer or the actuating system, this is to ensure proper operation and/or to prevent an overrun.
- A position switch should not serve as a mechanical stop, unless this is the intended use of the position switch according to the manufacturer.
- Misalignment of the guard, caused by an opening before the position switch state changes should not affect the protective effect of the safety device (regarding access to hazardous areas, see ISO 13855 and ISO 13857).
- The receptacle and the mounting of the position switches must be sufficiently stable to maintain proper operation of the position switch.

Section 5.3: Arrangement and mounting of actuators

Actuators must be secured so that the possibility of becoming loose or the possibility of modifying its intended position relative to the actuating system is reduced to a minimum over the intended service life.

- The fasteners of the actuators must be reliable and to move them, a tool is required.
- Gradual loosening must be prevented.
- The actuators must be arranged and, if necessary, be protected in such a way to prevent damage by unforeseen external causes.
- An actuator should not serve as a mechanical stop, unless this is the intended use of the actuator according to the manufacturer.
- The receptacle and the mounting of the actuator must be sufficiently stable to maintain proper operation of the actuator.



Given the procedure described above and the protective purpose of this standard, it is our opinion that a position switch may be mounted with standard screws, if neither incentive to defeat exists, nor a standard screwdriver belongs with the normal operating tools of the machine.

9. Interlocking devices with and without guard locking

The standard distinguishes four different types of interlocking systems:



The coding level is not important. When considering the designs, the first consideration is whether the interlocking device is coded or not.

The following coding levels are defined in the standard (see section 3.13.1 to 3.13.3):

low:	Coding options: 1 - 9
medium:	Coding options: 10 - 1,000
high:	Coding options: > 1,000

This definition is independent of the locking function of the interlocking device.









10. Product selection

The selection of the appropriate product always depends of course on the real application, i.e. operating conditions, such as:

- Temperature
- Humidity
- Dirt
- Shock/vibration
- Explosive atmosphere
- Necessary holding force

Further details and application instructions for the different types described above are given in annexes A - F of the standard.

The selection of a product also depends on the PLr to be achieved (see page 7). ISO 14119 and ISO 13849-2 prescribe redundancy of Type 1 or Type 2 switches when the $PL_r = PL$ e is to be reached (see ISO 14119 section 8.2 and ISO 13849-2, table D.8).



If a safety sensor (Type 3 or Type 4) is being used - that allows to use only one to achieve PL e in contrast to Type 1 or Type 2 switches (see above) - make sure that this sensor fulfills the requirements of the product specific standard IEC 60947-5-3 (see ISO 14119 section 5.4)



IEC 60947-5-3: Product standard of safety sensors







If, because of the stopping time described above, an **interlocking device with guard locking** is required, then Annex I is to be observed. It informs about the maximum possible static action forces that may be posed on interlocking devices with guard locking feature. As an informative annex and as an exemplary enumeration it is to be understood as a guideline of possible maximum force levels (ie: orders of magnitude) are represented. The locking forces actually required in a real application cannot and will of course not be prescribed by the standard. Here, either the machine manufacturer or a type C standard (also see section 6.2.2 Note 2) should be consulted.

If an interlocking device with guard locking is used, a manual (deliberate) deactivation of the guard locking device should be considered for installation, maintenance or repair work purposes on the machine.

Such types of release are defined in the standard ISO 14119 section 3.25 to section 3.27:



 Emergency release: mounted outside the hazardous area, for emergency use



 Auxiliary release: for unlocking during setup, no emergency



Emergency exit: mounted within hazardous area to be able to exit the area independently in the event of danger.





11. "Power to lock" or "Power to unlock"

Depending on whether energy is needed to lock or unlock the safety door, one distinguishes between

- Power to unlock: mechanically locked, unlocked by applying energy (see A)
- Power to lock: energy required to keep locked, release by removing the energy (see B and D)
- Bi-stabile principle of operation: power serves to interlock, power also serves to release (see C)

For safety reasons, the power to unlock (quiescent current) principle is preferable. After a proper risk assessment the power to lock principle may also be applied. Accordingly, interlocks are often used with the power to unlock principle for personal protection and interlocks with the power to lock principle for process protection (also see section 3.28 and section 3.29).

A)		Power to unlock	Locked
		Energy ON unlocked	Unlocked
B)	→	Energy ON locked	Locked
		Power to lock	Unlocked
C)		Energy ON locked	Locked
		Energy ON unlocked	Unlocked
D)		Energy ON locked	Locked



12. Fault exclusions

Machine safety requires the correct functioning of the safety circuit. It is therefore of utmost importance that any errors that could occur leading to a loss of safety are excluded.

The central standard that deals with possible errors in the components of a safety circuit, is the ISO 13849-2.

In the annexes, possible errors and possible exclusions due to the application of certain techniques are described in tabular form. For example: The non-opening of an electro-mechanical contact can be excluded by using a switch with positive break contacts.

It is important to study the applicable tables of the standard (especially Annex D: Validation tools for electrical systems) and document possible fault exclusions.









13. Verification

The verification is used to provide evidence that the selected components and their interconnections are sufficiently resistant to systematic and random errors that would result in the loss of the safety function. This is accomplished using a PL-calculation that must also include the corresponding monitoring device and the actuator. This calculation process is described in ISO 13849-1.







Such calculations can be performed on the computer with the SISTEMA software tool provided free of charge by the BIA. The software is available for download at: http://sistema-en.schmersal.net

Many manufacturers of safety components make the data of their components available in so-called SISTEMA libraries.

The Schmersal library is available in "Further products/software" at: www.schmersal.net



14. Validation

Despite all care, a final check of all conditions and parameters is mandatory, see ISO 13849-1, image 3. How to proceed with the validation, is described in ISO 13849-2. The procedure shown there is as follows:









Hence it does not only depend on the theoretical analysis, but depending on the complexity of the machine, also on the practical check of the safety function.

formation on page noted in our brochure "Design of safe

For a practical check of a two-channel machine it can be useful to deliberately disconnect one channel and then test the reaction of the system.

Here it is again important to record the results (validation report).



15. User information

If, in spite of all protection measures there are still remaining risks at the safety guard (such as with certain operating modes, e.g. maintenance operations, setting up) it is essential that the user is informed.

This can take place in two different ways: on the safety guard itself and in the operating instructions for the machine.

However, at this point it must be made clear that this is the last possibility for risk reduction that may be used after the inherent construction (see page 5 of this brochure) and also the technical protection measures (ie: locking the safety guard) have been exploited.

Information on creating standardised operating instructions can be found in ISO 12100 section 6.4 and also in IEC 82079-1.

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16. List of Standards

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ISO 12100:2010	General principles for design – Risk assessment and risk reduction
ISO 13849-1:2015	Safety of machinery – Safety-related parts of control systems – Part 1: General principles for design
ISO 13849-2:2012	Safety of machinery – Safety-related parts of control systems – Part 2: Validation
ISO 13855:2010	Safety of machinery – Positioning of safeguards with respect to the approach speeds of parts of the human body
ISO 13857:2008	Safety of machinery – Safety distances to prevent hazard zones being reached by upper and lower limbs
ISO 14119:2013	Safety of machinery – Interlocking devices associated with guards – Principles for design and selection
ISO 14120:2015	Safety of machinery – Guards – General requirements for the design and construction of fixed and movable guards
ISO/TR 24119:2015	Safety of machinery – Evaluation of fault masking serial connection of guard interlocking devices with potential free contacts (draft)
IEC 60947-5-1:2016	Low-voltage switchgear and control gear – Part 5-1: Control circuit devices and switching elements – Electromechanical control circuit devices
IEC 60947-5-3:2013	Low-voltage switchgear and control gear – Part 5-3: Control circuit devices and switching elements – Requirements for proximity devices with defined behaviour under fault conditions (PDDB)
IEC 82079-1:2012	Preparation of instructions for use – Structuring, content and presentation – Part 1: General principles and detailed requirements

22

221

Finally with this brochure we hope to have given you helpful tips with the standard-compliant construction of protective devices. We have created the content of this brochure and the poster to the best of our knowledge and belief, but assume no responsibility for their content. We also wish to point out the standardisation in European and international level are in constant change in order to keep in line with the technical progress and to adapt the standards and regulations to this new technology.

If you have any questions or suggestions, we would be happy that you contact us. If you require more information please refer to our current event and training program, which can be viewed under **www.tecnicum.com/academy/**. Additionally our staff are available with further information.





tec_nicum excellence in safety



Functional machine safety is a complex business which involves complying with a range of norms and directives. tec.nicum offers all machine manufacturers, operators and distributors completely product and manufacturer-neutral consultancy on all the latest statutory regulations and supports them in ensuring their machines and workplaces are designed to comply with the relevant standards.

tec.nicum's services cover four areas:

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The details and data referred to have been carefully checked. Technical amendments and errors possible.

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Design of safety guards under observation of ISO 14119

Objective: Support for standard-Risk assessment to ISO 12100 compliant safety door monitoring, Page 4 taking into account the Machinery Inherent safety Directive and the relevant standards Page 5 involved. Technical protection measures Page 5 Movable safety guards must be connected Determination of the safety function to interlocking devices, Page 6 Determination PL_r to ISO 13849-1 Designing the safety preventing the start of hazardous circuits machinery functions until the safety Page 8 Page 8 guard is closed, Design ISO 14120 Safety guards and triggering a stop command when Limbs ISO 13857 the safety guard is no longer closed. Page 9 Selection of the interlocking principle depending Approach speeds ISO 13855 upon the stop time of the entire system Page 9 Interlocking devices ISO 14119 Rate manipulation incentives Page 10 Page 10 Without guard locking With guard locking Page 15 Page 15 Forces and operating principles ISO 14119 **Product selection** See ISO 14119 Annex A - F Product selection Page 16 Page 16 Page 16 Type 2 Type 3 Type 4 Type 2 Type 4 Type 1 Fault exclusions to ISO 13849-2 Verification PL calculation to ISO 13849-1 Page 20 Validation Checking to ISO 13849-2 Page 21 User information Page 22 CHMERSAL

Safe solutions for your industry

You can find more information on page noted in our brochure "Design of safety guards". Disclaimer: Does not claim to be complete. The interpretation by K.A. Schmersal GmbH & Co. KG does not replace reading the relative standard.